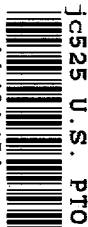


11/28/01



JCS25 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

CHECK BOX, if applicable: ☐ DUPLICATE  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

11-29-01

Attorney Docket No.:	9761730-0015
First Named Inventor:	Jonathan Kahn
Title of Invention:	System for Permanent Alignment of Text Utterances to Their Associated Audio Utterances
Express Mail Label No:	ET767972470US

A

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ This Form includes the Fee Transmittal (See Box 18)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status. (See 37 CFR 1.27)
3. ☒ Specification, incl claims and abstract Total Pages 17
4. ☒ Drawing(s) (35 USC 113) Total Sheets 4  
(Figs. 1- 4)
5. ☒ Unsigned Declaration and Power of Attorney  
Total Pages 3
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - c. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting Inventor(s)  
named in the prior application, see  
37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R in duplicate; or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

U.S. Patent & Trademark Office  
P. O. Box 2327 - Patent Application  
Arlington, VA 22202

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s)) Total Pages \_\_\_\_
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy Assignment Papers from a prior application
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ IDS By Applicant (PTO/SB/08A or PTO-1449)
  - b. ☐ Copies of IDS Citations are enclosed
  - c. ☐ Copy IDS Citations from a prior application
13. ☐ Preliminary Amendment "A" to  
1.53(b) DIVISIONAL Total Pages 2
14. ☒ Return Receipt Postcard (properly addressed; postage prepaid)
15. ☐ Certified copy of \_\_\_\_\_ Priority Document:  
Document No. \_\_\_\_\_, filed on \_\_\_\_\_
16. ☐ Request and Certification under 35 USC 122
  - a. ☐ Non-publication Request (PTO/SB/35 or equivalent)
  - b. ☐ Publication Request (PTO/SB/35 or equivalent)
17. ☒ Other:
  - a. ☒ Express Mail Certificate (No. ET767972470US)

JC978 U.S. PTO  
09/995892

11/28/01

18. ☐ CONTINUING APPLICATION. If continuing application, check appropriate box and supply the requisite information:
- a. ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_
  - b. ☐ Prior application information: Examiner Name: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_
  - c. ☐ The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$740.00
	TOTAL CLAIMS	20		\$18.00	
	INDEPENDENT CLAIMS	3		84.00	
		ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input type="checkbox"/> NO		280.00	0.00
				TOTAL FILING FEE Reduced by half Small Entity Status	\$740.00 \$370.00

- d. ☒ In connection with this application, the Commissioner is hereby authorized to credit overpayments or to charge any additional fee required to Deposit Account No. 19-3140.A duplicate copy of this sheet is enclosed.
- e. ☒ A check including the amount of \$ 370.00 is enclosed

19. ☒ CORRESPONDENCE ADDRESS:
- SONNENSCHN NATH & ROSENTHAL**  
P.O. Box 061080  
Wacker Drive Station, Sears Tower  
Chicago, IL, USA 60606-1080

DATE: November 28, 2001

SIGNATURE

Vincent P. Tassinari, Reg. No. 42,179  
Phn: (312) 876-8000 Fax: (312) 876-7934  
Customer Number: 026263

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"Express Mail" Mailing Label Number: ET767972470US

Date of Deposit: November 28, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the U.S. Patent & Trademark Office - P.O. Box 2327 - Patent Applications - Arlington, VA 22202.

Applicant(s): Jonathan Kahn et al

Attorney Docket No. 09761730-0015

Transmittal (2 pages- in duplicate)

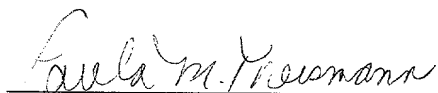
\$370.00 filing fee ( 20 claims - 3 independent)

Specification, Claims, Abstract (17 pages)

Drawings (4 sheets - Figs 1-4)

Declaration (unexecuted - 3 pages)

Return postcard



Signature of Person Mailing Application and Fees - Paula M. Theismann

09761730-0015